

Institutional Review Board (IRB)  
Protocol Deviation



1. Type of report:  Initial  
 Follow-up

2. Date of the occurrence: [Click here to enter text.](#)

3. Date the PI became aware of the occurrence: [Click here to enter a date.](#)

4. Details of Occurrence/ PI Categorization of Occurrence:

[Click here to enter text.](#)

5. Provide a summary description of the issue:

[Click here to enter text.](#)

6. Describe the suspected cause:

[Click here to enter text.](#)

7. Describe corrective actions taken or proposed, including any plans to re-consent subjects:

[Click here to enter text.](#)

8. Request for amendment of protocol (if applicable):

[Click here to enter text.](#)

9. Has occurrence resolved?:  Yes  
 No

10. If applicable, is the funder aware of the occurrence?

Yes

No

11. Should subjects remain in study?:

Yes

No

12. In the judgment of the Principal Investigator:

a. Was this occurrence unexpected in nature, severity and/or frequency?

Yes

No

b. Is it more likely than not that this occurrence was related to the research or will affect a change in research activities?

Yes

No

c. Does this occurrence suggest that subjects or others are placed at an increased risk of harm than was previously recognized (consider physical harm, psychological harm, financial harm, legal risks, risks from breach of confidentiality, etc.)?

Yes

No

d. Does this occurrence adversely affect the rights and/or welfare of the subject(s)?

Yes

No

e. Does this occurrence affect the scientific integrity of the study?

Yes

No

f. Should the consent document be revised because of this occurrence? (If yes, also submit a request for modification with supporting documents)

Yes

No

g. Should the protocol be revised because of this occurrence? (If yes, also submit a request for modification with supporting documents)

Yes

No

h. Should currently enrolled participants be notified about this occurrence? (If yes, also submit a request for modification with supporting documents to be used for notification)

Yes

No

**13. If applicable, attach any other relevant documents.**